Software Discovery Disclosure Form

# Section 1. Description

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| 1. Title of the Software: |
| 1. Description of the Software (no more than one page describing the use/purpose): |
| 1. Has Proof-of-Principle been performed? |
| 1. What are the immediate and/or future applications of the software? Has the software been made and tested? |
| 1. Why is the software better — more advantageous — than present technology? What are its novel and unusual features? What problems does it solve? |
| 1. Is work on the software continuing? Are there limitations to be overcome or other tasks to be done prior to practical application? Are there any test data? |
| 1. Is there a functioning graphical user interface (GUI) for the software that would allow third parties to interact with the software for performance evaluation purposes? |
| 1. Describe the present status of the development of the software along with future development plans to develop/improve the software for commercial exploitation. 2. Ongoing 3. Future |

# Section 2. Publications, Public Use and Sale

Valid legal protection for your software depends on accurate answers to the following items.

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| 1. Has the software been disclosed in an abstract, paper, conference presentation or poster, informal discussion, news story or a thesis? If yes, indicate type of disclosure made and date. (Provide copy, if available.) |
| 1. Is a publication or other disclosure planned in the next six months? If yes, indi-cate type of disclosure and date to be disclosed. (Provide copies, if available.) |
| 1. Has there been any public use or sale of products embodying the software including and testing or experimental uses in public? If yes, describe parties involved and provide dates. |
| 1. Has the source code for the software been disclosed or have copies been distribut-ed to any third parties? If so, please provide details. |
| 1. Have any other parties been contacted to discuss commercializing the software? If so, please provide details. |
| 1. Are you aware of related developments by others? If yes, please give citations and copies. |
| 1. Have you performed any patent searches of your own? If yes, please provide citations. |
| 1. Key words used to describe invention: |

# Section 3. Sponsorship

If the research that led to the discovery was sponsored in any manner, please fill in the following de-tails. If sponsorship funds were involved in any direct or indirect way in the discovery (e.g. if equip-ment used was purchased with funds, graduate student support, materials/supplies, etc.) please indicate the extent and manner of the support.

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| 1. Sponsor’s name(s) (please include MILO grant or contract number if applicable): |
| 1. Please describe the nature of the sponsor(s) contribution leading to the develop-ment of software disclosed in this form. |
| 1. Has this software already been disclosed to any of the sponsor(s)? If yes, please provide details including the names of the sponsors, their representatives and the dates of such disclosures. |
| 1. Please briefly describe any other sponsorship support you receive in general for your research. |
| 1. Are there any other persons who may believe that they have provided support for your research in general? If yes, please briefly describe the circumstances related to such a belief. |
| 1. Open Source Rights – Please identify any Open Source software code used or im-bedded in this software. If Open Source software code was used, **attach a copy of the associated Open Source License Agreement**. |
| 1. Other rights: List the names/addresses/telephone numbers/etc. of any other per-son or **organizations** which **may** have any **claim in** or **rights to** the software. |
| 1. Please indicate whether this software was made in the research facilities of McMaster, Hamilton Health Sciences, and/or St. Joseph’s Healthcare Hamilton. Check all that apply. 2. McMaster 3. Hamilton Health Sciences 4. St. Joseph’s Healthcare Hamilton |

# Section 4. Creators

1. Please list each person who made a contribution to the creative or inventive aspects of the discovery disclosed in this form. If you are unsure, please contact MILO for assistance.

\*Software is typically protected under Copyright Law. Copyright does not protect ideas, only the expression of ideas. This means that things like business concepts, plots and algorithms are not protected by copyright. However, the tangible expression of those ideas in software, for example, would be protected. An Author is a person who contributed to the expression (e.g. program code) of the ideas in a “tangible work”.

\*\*Software may in some circumstances be patentable, particularly if the software utilizes a novel methodology of collecting, organizing, or processing information and in situations where the software is an integral component of some physical device (e.g. equipment, instrumentation, etc.). If you believe this to be the case, please contact MILO for further assistance.

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| Creators Name | Appointed by (MAC, HHS, SJHH) | Dept. | Campus or Hospital Address | Home Address | Citizenship | Email Address |
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1. Were any of the Authors paid to specifically make contributions to creating the software (i.e., Co-op students, external paid consultants, etc.)? If so, identify which Authors were “hired’ to make contributions to the software.

Name(s)

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1. Waiver of Ownership: List the names of ALL persons who have signed a waiver of ownership (as an Inventor or Author) of the software (attach copes).

Name(s)

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1. Industrial Interest/Support: Is there any industrial/commercial interest in, or support for, the software? Yes / No If so, please list the names and addresses of the companies along with the name(s) of your company contacts. Attach any relevant correspondence.

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| Names(s) and Address of Company | Name of Contact(s) |
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# Participants

1. Please list all participants (including undergraduate students, graduate students, Post-doctoral Fellows, Research Associates and Technicians) who are, or have been involved in the research that resulted in this Discovery.

\*\*\*Note: Participants are individuals who simply followed instructions and a protocol/method that relates to the discovery

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| Participants Names (one per line) | Status (Undergraduate, technician, etc.) | Email Address |
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1. MAC, HHS and SJHH Creators have rights in certain circumstances to share in the net revenues arising from the commercialization of a discovery under McMaster’s IP Policy. Please indicate in the following table your proposal how creators’ share of any such revenues are to be divided (based on a total of 100%).

\*\*Please only list MAC, HHS and SJHH creators below\*\*

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| Creator’s Name | Percentage Share |
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| Total: | 100% |

1. Formal Declaration (**All creators MUST read the following and sign below**)

Each of the undersigned is a person who has participated in the completion of this form. Each of us further declares to McMaster University the following:

1. All facts related to the discovery or creation of the intellectual property described in this form (the Subject IP), including all creators and participants, are complete and correct;
2. I am an inventor of the Subject IP described in this disclosure;
3. I agree to share the creator’s share of any net revenues that may arise from the Subject IP in the proportions outlined in section 4F;
4. I agree to the commercialization of the Subject IP pursuant to the Intellectual Property Policy of McMaster University. I will, as may be reasonably requested:
   1. assist the McMaster Industry Liaison Office in the commercialization of the Subject IP including obtaining any appropriate legal protection;
   2. confirm my assignment of the intellectual property described herein and sign any forms and assignments as required; and
   3. limit any publications or other disclosures related to the Subject IP to allow proper commercialization to take place, within the limits set out in the *Intellectual Property Policy*.
5. I acknowledge that McMaster University shall be the owner of the Subject IP, and any patent or other intellectual property protection obtained therefor.

THEREFORE, in good and valuable consideration, the receipt and sufficiency of all of which is hereby acknowledged, I confirm that I have assigned our full and exclusive right to any such invention to McMaster University.

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| Creator’s Name | Creator’s Signature | Date |
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\*\*Please send an electronic copy and a completed signed, original hard copy to:

McMaster Industry Liaison Office, MIP Suite 305

Attention: IP Administrator ([ipadmin@mcmaster.ca](mailto:ipadmin@mcmaster.ca)) ext. 22173 (fax: 905-546-1372)

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| PRIVACY COLLECTION NOTICE  McMaster University's McMaster Industry Liaison Office is collecting the information on this form un-der the authority of *The McMaster University Act, 1976*, for the purposes of securing intellectual property protection and pursuing commercialization. The information you provide will be protected and used in compliance with Ontario's *Freedom of Information and Protection of Privacy Act* (RSO 1990) and will be disclosed only in accordance with this Act.  By signing this form, you consent to McMaster University disclosing your personal information to those individuals and organizations that the University deems necessary for securing intellectual property rights and pursuing commercialization.  If you have any questions about the collection or use of this information, please contact the Executive Director of the McMaster Industry Liaison Office at McMaster Innovation Park, Suite 305, 175 Longwood Road S. Hamilton, ON L8P 0A1, 905-525-9140, ext. 22176. |